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APPLICATION NUMBER

SBURY WINTHROP, LLP

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/607,573

P.O. BOX 10500

MCLEAN, VA 22102

06/27/2003

Ko Sato

008312-0304521

CONFIRMATION NO. 5006

FORMALITIES LETTER

OC000000010874923

Date Mailed: 09/15/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 750 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further further processing delays.

 Additional claim fees of \$84 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

12/05/2003 RMEBRAHT 00000020 033975

10607573

SUMMARY OF FEES DUE:

130.00 DA 01 FC:1051 770.00 DA 02 FC:1001 86.00 DA 03 FC:1201

Total additional fee(s) required for this application is \$964 for a Large Entity

• \$750 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

• Total additional claim fee(s) for this application is \$84

■ \$84 for 1 independent claims over 3.

Replies should be mailed to: N

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney Docket: 008312-0304521 Client Reference: T4YKA-02S1208

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ise PATENT APPLICATION of: KO SATO ET AL.

Spplication No.: 10/607,573

Group Art Unit: 2873

Filed: June 27, 2003

Examiner: UNKNOWN

Title: OPTICAL MODULE APPARATUS, PROJECTION TELEVISION AND METHOD OF

FABRICATING OPTICAL MODULE APPARATUS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O.Box 1450 Alexandria,VA 22313-1450

Sir:

Applicant requests a 1 month extension of time to respond to the Notice to File Missing Parts of Application mailed September 15, 2003, a copy of which is enclosed. The requisite extension fee is enclosed, where applicable.

Applicants herewith submit the following:

Executed Combined Declaration and Power of Attorney of Inventors Executed Power of Attorney by Assignee and Exclusion of Inventor Specification, Claims and Abstract (24 pages) Formal Drawings (8 Sheets, Figures 1A-8) Assignment and Recordation Cover Sheet

Please see attached PTO/SB/17 for payment of fees.

Respectfully submitted, PILLSBURY WINTHROP LLP

Glenn J. Perry

Registration No. 28458

Date: December 3, 2003 P.O. Box 10500 McLean, VA 22102 (703) 905-2000

30418638v1

12/05/2003 RMEBRAHT 00000020 033975 10

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number										
1	FEE TRANSMITTAL		Complete if Known								
9	FEE IRANS	IVIIIIAL	Application Number	10/607,573							
	for FY 2004 Effective 5/101/2003. Patent fees are subject to annual revision. TARREST STATEMENT Claims small entity status. See 37 CFR 1.27		Filing Date	June 27, 2003							
ľ			First Named Inventor	KO SATO							
%			Examiner Name	UNKNOWN							
ľ			Art Unit	2873							
1	TOTAL AMOUNT OF PAYMENT	(\$) 1,136.00	Attorney Docket No.	008312-0304521							

METH		FEE CALCULATION (continued)					
Check	3. A	3. ADDITIONAL FEES					
X Deposit A	Large	Entity		Entity			
Deposit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	033975	1051	130	2051		Surcharge - late filing fee or oath	130.00
Deposit Account	PILLSBURY WINTHROP LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)			130	1053		Non-English specification	
X Charge fee(s) indicated below X Credit any overpayments			2,520	1812	•	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)			920°	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee			1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.						Examiner action	110.00
	FEE CALCULATION	1251	110	2251		Extension for reply within first month	113133
1. BASIC FI		1252	420	2252	210	Extension for reply within second month	
Large Entity S Fee Fee F	mall Entity Fee Fee Fee Description Fee Paid	1253	950	2253	475	Extension for reply within third month	
	Code (\$)	1254	1,480	2254			
1001 770	2001 385 Utility filing fee 770.00		2,010	2255	.,		
1002 340	2002 170 Design filing fee	1401	330	2401		Notice of Appeal	
1003 530	2003 265 Plant filing fee	1402	330	2402		Filing brief in support of an appeal	
1004 770	2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing	
1005 160	2005 80 Provisional filing fee	1451	1,510	1451	•	Petition to institute a public use proceeding	
ļ '	SUBTOTAL (1) (\$) 770.00	1452	110	2452		Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1,330	2453		Petition to revive - unintentional	
Fee from Extra Claims below Fee Paid Total Claims 20 -20** = 0 X = 0			1,330 480	2501 2502		Utility issue fee (or reissue)	
			640	2502		Design issue fee Plant issue fee	<u> </u>
Independent Claims	4 - 3" = 1 x 86 = 86	1503 1460	130	1460		Petitions to the Commissioner	
Multiple Dependent Large Entity Small Entity			50	1807		Processing fee under 37 CFR 1.17(q)	├ ──┤
			180	1806		• • • • • • • • • • • • • • • • • • • •	
Fee Fee Code (\$)	Fee Fee Fee Description Code (\$)	1806			40	Recording each patent assignment per	
1202 18	2202 9 Claims in excess of 20	8021	40	8021		property (times number of properties)	40.00
1201 86	2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86	2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 86.00			fee (sp	ecify) _			<u> </u>
**or number	*Red	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	280.00	

SUBMITTED BY (Complete (if applicable) Registration No. Name (Print/Type) Telephone (703) 905-2161 28458 Glenn J. Perry December 3, 2003 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.